Receipt date: 01/04/2006

10563322 - GAU: 2873

Docket No.

283242US0PCT

10/563322

# in the united states patent and transpark office 04 JAN 2006

IN RE APPLICATION OF:

Jean-Christophe GIRON, et al.

SERIAL NO: New U.S. PCT Application Based on PCT/FR04/01774

FILED:

Herewith

GAU:

**EXAMINER:** 

FOR:

ELECTRICALLY-CONTROLLABLE DEVICE WITH VARIABLE OPTICAL AND/OR ENERGY PROPERTIES

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

#### REFERENCES

- The applicant(s) wish to make of record the references cited in the International Search Report and listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

#### RELATED CASES

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

### **CERTIFICATION**

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

#### DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Receipt date: 01/04/2006

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| Form PTO 1449  |                       | U.S. DEPARTMEN     |                | ATTY DOCKET NO. 283242US0PCT  |   | New U.S. PCT Application<br>Based on PCT/FR04/01774 |   |               |  |
|--|-----------------------|--------------------|----------------|-------------------------------|---|---|---|---------------|--|
| (Modified)   |                       | PATENT AND TRA     | ADEMARK OFFICE |                               |   |   |   |               |  |
|  |                       |                    |                | APPLICANT                     |   |   |   |               |  |
| LIST OF  | REFE                  | RENCES CITED BY A  | PPLICANT       | Jean-Christophe GIRON, et al. |   |   |   |               |  |
|  |                       |                    |                | FILING DATE                   |   | GROUP   |   |               |  |
|  |                       | ·                  |                | Herewith                      |   |   |   |               |  |
| U.S. PATENT DOCUMENTS  |                       |                    |                |                               |   |   |   |               |  |
| EXAMINER<br>INITIAL  |                       | DOCUMENT<br>NUMBER | DATE           | NAME                          | CLASS                                   | SUB<br>CLASS  |   |               |  |
|  | AA                    | 4 488 780          | 12/18/84       | NICHOLSON Margie M.           |   |   |   |               |  |
|  | AB                    | 5 187 496          | 02/16/93       | YU Robert C. U.               |   |   |   |               |  |
|  | AC                    | 5 981 076          | 11/09/99       | OJEDA Jaime R.                |   |   |   |               |  |
|  | AD                    | 4 927 246          | 05/22/90       | ITO Toshiyasu et al.          |   |   |   |               |  |
|  | AE                    |                    |                |                               |   |   |   |               |  |
|  | AF                    |                    |                |                               |   |   |   |               |  |
|  | AG                    |                    |                |                               |   |   |   |               |  |
|  | AH                    |                    |                |                               |   |   |   |               |  |
|  | . Al                  |                    |                |                               |   |   |   |               |  |
|  | AJ                    |                    |                |                               |   |   |   |               |  |
|  | AK                    |                    |                |                               |   |   |   |               |  |
|  | AL                    |                    |                |                               |   |   |   |               |  |
|  | AM                    |                    |                |                               |   |   |   |               |  |
|  | AN                    |                    |                |                               | L                                       |   |   |               |  |
|  |                       |                    | FO             | REIGN PATENT DOCUMENTS        |   |   |   |               |  |
|  |                       | DOCUMENT<br>NUMBER | DATE           | COUNTRY                       |   | TRANSLATION<br>YES                                  |   | SLATION<br>NO |  |
|  | AO                    | 99/45415           | 09/10/99       | wo                            |   |   |   | NO            |  |
|  | AP                    | 0 363 045          | 04/11/90       | EP                            |   |   |   | NO            |  |
|  | AQ                    |                    |                | ,                             |   |   |   |               |  |
|  | AR                    |                    |                |                               |   |   |   |               |  |
|  | AS                    |                    |                |                               |   |   |   |               |  |
|  | AT                    |                    |                |                               |   | -   |   |               |  |
|  | AU                    |                    |                |                               |   |   |   |               |  |
|  | AV                    |                    |                | ,                             |   |   |   |               |  |
| OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)  |                       |                    |                |                               |   |   |   |               |  |
|  | AW                    |                    |                |                               |   | _   | _ |               |  |
|  | AX                    |                    |                |                               |   | <u>-</u>  |   |               |  |
|  | AY                    |                    |                |                               |   |   |   |               |  |
|  | AZ                    |                    |                |                               | Additional References sheet(s) attached |   |   |               |  |
| Examiner   | iner /Jessica Stultz/ |                    |                |                               |   | Date Considered 02/05/2009                          |   |               |  |
| *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in |                       |                    |                |                               |   |   |   |               |  |
| conformance and not considered. Include copy of this form with next communication to applicant.  |                       |                    |                |                               |   |   |   |               |  |